

## CURRICULUM VITAE (2022)

**Gilbert Rozman**

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### EDUCATION

1961-63, '64-'65 **Carleton College**; Northfield, MN (Major: Chinese and Russian Studies)

1963-64 **Princeton University** (Critical Languages Program in Chinese and Russian Studies)

1965-70 **Princeton University** (Sociology) Ph.D awarded June 1971

### INTENSIVE LANGUAGE PROGRAMS

Harvard University (summer 1963, Beginning Chinese)

Stanford University (summer 1964, Classical Chinese)

Indiana University (summer 1965, Russian Language Study Tour)

University of Minnesota (summer 1966, Third-Year Japanese)

Yonsei University (fall 2000, Intermediate Korean, tutorials)

### POSITIONS at Princeton University

1970- Instructor, Assistant Professor, Associate Professor

1979- Professor

1992- Musgrave Professor of Sociology

2013- Emeritus Musgrave Professor of Sociology

### Current Position

2013- Editor-in-chief, *The Asan Forum* ([www.theasanforum.org](http://www.theasanforum.org))

### AWARDS AND FELLOWSHIPS

Alfred P. Sloan Foundation Scholarship (1961-65)

Phi Beta Kappa, 1965

Woodrow Wilson National Fellowship (1965-66)

Foreign Area Fellowship, Social Science Research Council (1968-70)

International Research and Exchanges Board Fellowship (1972)

National Endowment of the Humanities Summer Fellowship (1975)

National Science Foundation and the National Endowment of the Humanities Project Support (1976-79)

Guggenheim Fellowship (1979-80)

International Post-Doctoral Research, Social Science Research Council (for Japan, summers 1980, 1989)

National Council for Soviet and East European Research (1981-83; 1984-85; 1986-88; 1996-97, 2002-05)  
Committee on Scholarly Communication with the PRC (1984-85, 1992)  
Chiang Ching-kuo Foundation Fellow, ACLS (1992-93)  
United States Institute of Peace (1994-96)  
Woodrow Wilson International Center for Scholars (1996-97, 2010-11)  
Center for Global Partnership (1997-98)  
Carnegie Corporation of New York, International Peace and Security Program (2000-2001)  
Yonsei University (Seoul), visiting professor (2000, fall)  
Seoul National University, visiting professor (2005, fall)  
The Korea Foundation (2005-06, 2009-10)  
East Asia Institute Fellow, Seoul (2006)  
Northeast Asian History Foundation (2007-08)  
United States-Japan Foundation (2009-10)  
Princeton University Phi Beta Kappa Teaching Award  
Korean Economic Institute, organizer of panels and editor of annual volumes (2011-)  
Carleton College Distinguished Achievement Award, 2020

## PUBLICATIONS

### Books:

- Urban Networks in Ch'ing China and Tokugawa Japan* (Princeton University Press, 1973)
- The Modernization of Japan and Russia* (coauthor, The Free Press, 1975)
- Urban Networks in Russia, 1750-1800, and Premodern Periodization* (Princeton University Press, 1976)
- The Modernization of China* (ed., The Free Press, 1981)
- Population and Marketing Settlements in Ch'ing China* (Cambridge University Press, 1982)
- Soviet Studies of China: Assessments of Recent Scholarship* (ed., Michigan Publications on China, 1984)
- A Mirror for Socialism: Soviet Criticisms of China* (Princeton University Press, 1985)
- Japan in Transition: From Tokugawa to Meiji* (coeditor, Princeton University Press, 1986)
- The Chinese Debate about Soviet Socialism, 1978-1985* (Princeton University Press, 1987)
- The East Asian Region: Confucian Heritage and Its Modern Adaptation* (ed., Princeton University Press, 1991)
- Japan's Response to the Gorbachev Era, 1985-1991: A Rising Superpower Views A Declining One* (Princeton University Press, 1992)
- Dismantling Communism: Common Causes and Regional Variations* (ed., Johns Hopkins University Press, 1992)
- Russia and East Asia: The 21<sup>st</sup> Century Security Environment* (co-editor, M.E. Sharpe, 1999)
- Japan and Russia: The Tortuous Path to Normalization, 1949-1999* (ed., St. Martin's Press, 2000)

*Northeast Asia's Stunted Regionalism: Bilateral Distrust in the Shadow of Globalization* (Cambridge University Press, 2004)

*Korea at the Center: Dynamics of Regionalism in Northeast Asia* (coeditor, M.E. Sharpe, 2006)

*Russian Strategic Thought toward Asia* (co-editor, Palgrave, 2006)

*Japanese Strategic Thought toward Asia* (co-editor, Palgrave, 2007)

*Strategic Thinking about the Korean Nuclear Crisis: Four Parties Caught between North Korea and the United States* (Palgrave, 2007, revised paperback edition in 2011)

*South Korean Strategic Thought toward Asia* (coed), (Palgrave, 2008)

*Chinese Strategic Thought toward Asia* (Palgrave, 2010, revised paperback edition in 2012)

*U.S. Leadership, History, and Bilateral Relations in Northeast Asia* (editor), (Cambridge, 2011)

*East Asian National Identities: Common Roots and Chinese Exceptionalism* (ed), (Woodrow Wilson Center Press and Stanford University Press, 2012)

*China's Foreign Policy: Who Makes It, and How Is It Made?* (ed), (Palgrave, 2012).

*Joint U.S.-Korea Academic Studies--Asia at a Tipping Point: Korea, the Rise of China, and the Impact of Leadership Transitions* (ed), (Korea Economic Institute, 2012).

*National Identities and Bilateral Relations: Widening Gaps in East Asia and Chinese Demonization of the United States* (ed), (Woodrow Wilson Center Press and Stanford University Press, 2013).

*Joint U.S.-Korea Academic Studies--Asia's Uncertain Future: Korea, China's Aggressiveness, and New Leadership* (ed), (Korea Economic Institute, 2013).

*The Sino-Russian Challenge to the World Order: National Identities, Bilateral Relations, and East vs. West in the 2010s* (Woodrow Wilson Center Press and Stanford University Press, 2014).

*Joint U.S.-Korea Academic Studies--Asia's Slippery Slope: Triangular Tensions, Identity Gaps, Conflicting Regionalism, and Diplomatic Impasse toward North Korea* (ed), (Korea Economic Institute, 2014).

*Misunderstanding Asia: International Relations Theory and Asian Studies over Half a Century* (ed) (Palgrave Macmillan, 2015).

*Asia's Alliance Triangle: U.S.-Japan-South Korea Relations at a Tumultuous Time* (ed) (Palgrave Macmillan, 2015).

*Joint U.S.-Korea Academic Studies—Facing Reality in East Asia: Tough Decisions on Competition and Cooperation* (ed) (Korea Economic Institute, 2015).

*Japan-Russia Relations: Implications for the U.S.-Japan Alliance* (ed) (Washington, DC: Sasakawa USA, 2016)

*Joint U.S.-Korea Academic Studies—Rethinking Asia in Transition: Security Intentions, Value Gaps, and Evolving Economic Relations* (ed) (Korea Economic Institute, 2016).

*International Relations and Asia's Southern Tier: ASEAN, Australia, and India* (coed with Joseph Liow) (SpringerNature, 2017).

*International Relations and Asia's Northern Tier: Sino-Russian Relations, North Korea, and Mongolia* (coed with Sergey Radchenko), SpringerNature, 2017).

*Joint U.S.-Korea Academic Studies—The Sino-ROK-U.S. Triangle: Awaiting the Impact of Leadership Changes* (ed) (Korea Economic Institute, 2017).

*Joint U.S.-Korea Academic Studies—A Whirlwind of Change in East Asia: Assessing Shifts in Strategy, Trade, and the Role of North Korea* (ed) (Korea Economic Institute, 2018).

*Joint U.S.-Korea Academic Studies-- The East Asian Whirlpool: Kim Jong-un's Diplomatic Shake-up, China's Sharp Power, and Trump's Trade Wars* (ed) (Korea Economic Institute, 2019).

*Joint U.S.-Korea Academic Studies—East Asian Leaders Geopolitical Frameworks, New National Identity Impacts, and Rising Economic Concerns with China* (ed) (Korea Economic Institute, 2020).

*Democratization, National Identity, and Foreign Policy in Asia* (ed) (Routledge, 2021)

*Joint U.S.-Korea Academic Studies—Questioning the Pandemic's Impact on the Indo-Pacific: Geopolitical Gamechanger? Force for Deepening National Identity Clashes? Cause of Shifting Supply Chains?* (ed) (Korea Economic Institute, 2021).

*Strategic Triangles Reshaping International Relations in East Asia* (Routledge, 2022).

## Articles:

### *Encyclopedia Articles and Overviews*

"The Sociology of East Asia: A Growing Force in Regional Studies," in *Asia in the Twenty-First Century: Toward a New Framework of Asian Studies* (Tokyo: Institute of Oriental Culture, University of Tokyo, 1996), pp. 1-9.

"Hanjungil samguk oe yugyo wa segyehwa" (Korea, China, Japan: Three countries' Confucianism and Globalization), *Chontong gwa hyondae*, No. 3, 2000, pp. 207-30.

"East Asian Studies: Society," in Neil J. Smelser and Paul B. Batters, eds., *International Encyclopedia of the Social Sciences* (Oxford: Elsevier Science Ltd, 2001), pp. 3975-79.

"Japan-Russia Relations," in David Levinson and Karen Christensen, et al., eds., *Encyclopedia of Modern Asia* (New York: Scribner's, 2002), Vol. 3, pp. 244-48.

"Integrating Russian Studies into Northeast Asian Studies," *AAASS Newsletter*, March 2002, pp. 1-4.

"Japan's Relations with the U.S. and Its North Korean Option," *Foreign Policy Research Institute E-notes*, Dec. 3, 2002.

"The Far East Region," James R. Millar, ed., *Encyclopedia of Russian History*, Vol. 2 (New York: Macmillan Reference, 2004), pp. 481-82.

"Modernization Theory," in *New Dictionary of the History of Ideas* (New York: Scribner's, 2004).

1974-79

"Soviet Reinterpretations of Chinese Social History," *Journal of Asian Studies* (November 1974), 49-72. Republished without permission as "Criticisms of Soviet Revisionists' Distortions of China's Social History," *Waiguo Yanjiu Zhongguo* 3 (1979), 75-117.

"Edo's Importance in the Changing Tokugawa Society," *Journal of Japanese Studies* (Fall 1974), 91-112.

"Comparative Approaches to Urbanization: Russia, 1750-1800," in Michael Hamm, ed., *The City in Russian History* (University of Kentucky Press, 1975), 69-85.

"The Skinner Bibliography of Modern Chinese Society: Ch'ing Research, 1644-1895," *Journal of Asian Studies* (February 1976), 283-87.

"Two Sources of Population Data for Nineteenth-Century China," *Ch'ing-Shih Wen-T'i* (Fall 1977), 72-78.

"China's Traditional Cities," *Pacific Affairs* (Winter 1977), 668-72.

"Introduction," to reprint of *Posadskaia Obshchina v Rossi XVIII Stoletii*, by A.A. Kizevetter (Oriental Research Partners, 1978), 1-7.

"Urban Networks and Historical Stages," *Journal of Interdisciplinary History* 9:1 (Summer 1978), 65-91. Republished in Theodore Rabb and Robert I. Rotberg, eds., *Industrialization and Urbanization* (Princeton University Press, 1981).

"Social Interdependence in the Premodern Era," "Social Interdependence in the Transition to Modernization," "Social Interdependence and Advanced Modernization," in *The Modernization of Japan and Russia*, pp. 74-100, 198-216, 308-22.

"Comparisons Between China and the Soviet Union: The Sociology of Socialism," *Contemporary China* 2:2 (Summer 1978), 18-23.

"Social Integration in the Eighteenth and Nineteenth Centuries," "Social Integration in the Twentieth Century," "Summary: Eighteenth and Nineteenth Centuries," "Summary: Twentieth Century," "The PRC as a Modernizing Society," "China's Modernization in Historical Perspective," in *The Modernization of China*, pp. 141-82, 352-400, 203-16, 443-54, 455-81, 482-516.

1980-89

"The Social Order," in *The Cambridge Encyclopedia of China* (Cambridge: Cambridge University Press, 1982), 112-21.

"Moscow's China-Watchers in the Post-Mao Era: The Response to a Changing China," *The China Quarterly* 94 (June 1983), 215-41.

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"Castle Towns in Transition," in Marius B. Jansen and Gilbert Rozman, eds., *Japan in Transition: From Tokugawa to Meiji* (Princeton: Princeton University Press, 1986), pp. 318-46.

"China's Soviet-Watchers in the 1980s: A New Era in Scholarship," *World Politics* 37:4 (July 1985), 435-74.

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"Chinese Comparisons of Socialism: A New Agenda for Research and New Perceptions of Convergence in Socialist Reforms," in Tsuyoshi Hasegawa, ed., *The Soviet Union Faces Asia: Perceptions and Policies* (Sapporo: The Slavic Research Center, Hokkaido University, 1987), 21-36.

"The Comparative Study of Socialism in China: The Social Sciences at a Crossroads," *Social Research*, 54:4 (Winter 1987), 631-61.

"Moscow's Japan-Watchers in the First Years of the Gorbachev Era: The Struggle for Realism and Respect in Foreign Affairs," *Pacific Review* (1988), Vol. 1, No. 3, pp. 257-75.

"Japan's Soviet-Watchers in the First Years of the Gorbachev Era: The Search for a Worldview for the Japanese Superpower," *Pacific Review*, (1988) Vol. 1, No. 4, pp. 412-28.

"Social Change," in Marius B. Jansen, ed., *The Cambridge History of Japan*; Vol. 5, *The Nineteenth Century*, (Cambridge: Cambridge University Press, 1989), pp. 499-568.

1990-94

"Roshia Soren o shiru jiten' no Roshia Soren zo" (The Image of Russia and the Soviet Union from the "Dictionary to Know about Russia and the Soviet Union"), *Gekkan Hakka*, No. 336, October 1990, pp. 21-25.

"East Asian Urbanization in the Nineteenth Century: Comparisons with Europe," in A. Van der Woude, et. al., eds., *Urbanization in History*, Oxford: Clarendon Press (1990), pp. 61-73.

"Shades of Excellence: The Communist Party and Elites in China and the Soviet Union," in Mel Gurtov, ed., *The Transformation of Socialism: Perestroika and Reform in the Soviet Union and China* (Boulder: Westview Press, 1990), pp. 155-77.

"The Rise of the State in China and Japan," in Michael Mann, ed., *Rise and Decline of the Nation State* (Oxford: Basil Blackwell, 1990), pp. 172-187.

"Rising Soviet Expectations for the Asian-Pacific Region" (Ajia-taiheiyo chiiki e mukete takamaru Soren no kitai), *Soren no kiki to Nisso kankei* (Tokyo, 1991), pp. 86-96 and 243-69.

"Introduction: The East Asian Region in Comparative Perspective," "Comparisons of Modern Confucian Values in China and Japan," in *The East Asian Region*, 1991, pp. 3-42, 157-203.

"Theories of Modernization and Theories of Revolution: China and Russia," in Zhongyang yanjiuyuan jindaishi yanjiusuo, ed., *Zhongguo Xiandaihua Luanwenji* (Taipei, 1991), pp. 633-46.

"Stages in the Reform and Dismantling of Communism in China and the Soviet Union," in *Dismantling Communism: Common Causes and Regional Variations*, 1992, pp. 15-58.

"The Confucian Faces of Capitalism," in Mark Borthwick, ed., *The Emergence of Modern Pacific Asia* (Boulder, Colorado: Westview Press, 1992), pp. 310-22.

"Value Change, Marketization, and Enterprise Paternalism in China and Russia," in *Power Shifts and Value Changes in the Post Cold War World* (Takahashi, Okayama: The Joint Symposium of the International Sociological Association's Research Committees in Comparative Sociology and Sociology of Organizations, Kibi International University, 1992), pp. 127-43.

"Japanese Images of the Soviet and Russian Role in the Asia-Pacific Region," in Hasegawa, Haslam, and Kuchins, eds., *Russia and Japan* (Berkeley: Institute of East Asia, University of California, 1993), pp. 101-123.

"Japanese Views of the Great Powers in the New World Order," in David Jacobsen, ed., *Old Nations, New World: Conceptions of World Order* (Boulder, CO: Westview Press, 1994), pp. 15-35.

"Chinese Studies in Russia and their Impact, 1985-1992," *Asian Research Trends*, No. 4 (1994), pp. 143-60.

1995

"East Asian Modernization and the Japanese Experience," in Eric Wu and Yun-han Chu, eds., *The Predicament of Modernization in East Asian* (Taipei: National Cultural Association, 1995), pp. 111-26.

"A Regional Approach to Northeast Asia," *Orbis*, Vol. 39, No. 1 (Winter 1995), pp. 65-80.

"Spontaneity and Direction Along the Russo-Chinese Border," in Stephen Kotkin and David Wolff, eds., *Rediscovering Russia in Asia: Siberia and the Russian Far East* (Armonk, NY: M.E. Sharpe, 1995), pp. 275-89.

"Gendai Tohoku Ajia no seiji keizai" (The Political Economy of Contemporary Northeast Asia), in *Hokuto Ajia Chiiki No Shomondai* (Niigata, Japan: Kenritsu Niigata joshi tanki daigaku, 1995), pp. 131-44.

"Current Cooperation in the Region of the Sea of Japan: Building Networks of Multinational Cooperation," *United Nations Symposium on Northeast Asia: Multi-Faceted Cooperation in Northeast Asia* (United Nations, 1995).

1996

"Nihon to Chugoku no kindaika ni kansuru hikaku" (Comparisons of the Modernization of Japan and China), in Yamada Tatsuo and Nakai Yoshihiko, eds., *Nitchu Hikaku Kindaika Ron (Modernization Theory Comparing Japan and China)* (Kyoto, 1996), pp. 31-56.

"Chugoku toshi eriito no jukyoteki dento to shakaishugiteki kaikaku no tempo" (The Confucian Traditions of China's Urban Elite and Prospects for Socialist Reforms) in Yamada Tatsuo, ed., *Rekishi No Naka No Gendai Chugoku (Contemporary China in the Light of History)* (Tokyo, 1996), pp. 53-81.

1997

“China, Japan, and the Post-Soviet Upheaval: Global Opportunities and Regional Risks,” in Karen Dawisha, ed., *The International Dimension of Post-Communist Transitions in Russia and the New States of Eurasia* (Armonk, NY: M.E. Sharpe, 1997), pp. 147-76.

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“Troubled Choices for the Russian Far East: Decentralization, Open Regionalism, and Internationalism,” *The Journal of East Asian Affairs*, Vol. 11, No. 2, Summer/Fall 1997, pp. 537-69.

“Cross-National Integration in Northeast Asia: Geopolitical and Economic Goals in Conflict,” *East Asia: An Interdisciplinary Journal*, Vol. 16, No. 2, Spring/Summer, 1997, pp. 6-43.

“Confucian Ethics and Legitimacy of Authority in China and Japan,” in Michele Schmiegelow, ed., *Democracy in Asia* (Frankfurt and New York: Campus Verlag and St. Martin’s Press, 1997), pp. 93-112.

1998

“The Great Power Balance in Northeast Asia,” review article, *Orbis*, Vol. 42, No. 1, Winter 1998, pp. 130-42.

“The Sino-Russian Strategic Partnership: Will It Endure?” *Demokratizatsiya*, Vol. 6, No. 2, Spring 1998, pp. 396-415.

“Sino-Russian Relations in the 1990s: A Balance Sheet,” *Post-Soviet Affairs*, Vol. 14, No. 2, Spring 1998, pp. 93-113.

“Flawed Regionalism: Reconceptualizing Northeast Asia in the 1990s,” *Pacific Review*, Vol. 11, No. 1, 1998, pp. 1-27.

“Northeast Asia: Regionalism, Clash of Civilizations or Strategic Quadrangle?” *Asia-Pacific Review*, Vol. 5, No. 1, Spring/Summer 1998, Tokyo, pp. 105-26.

“Northeast China: Waiting for Regionalism,” *Problems of Post-Communism*, Vol. 45, No. 4, July-August 1998, pp. 3-13.

“Decentralization in Northeast China and the Russian Far East,” *The Soviet and Post-Soviet Review*, Vol. 25, No. 2, 1998, pp. 181-97.

1999

“China’s Quest for Great Power Identity,” *Orbis*, Vol. 43, No. 3, Summer 1999, pp. 383-402; reprinted in Guoli Liu, ed., *Chinese Foreign Policy in Transition* (New York: Aldine DE Gruyter, 2004).

“The Strategic Quadrangle and the Northeast Asian Region,” in Rouben Azizian, ed., *Strategic and Economic Dynamics of Northeast Asia* (Auckland: Center for Strategic Studies, University of Auckland, 1999), pp. 8-21.



“Mutual Perceptions among the Great Powers in Northeast Asia,” in Tsuneo Akaha, ed., *Politics and Economics in Northeast Asia: Nationalism and Regionalism in Contention* (New York: St. Martin’s Press, 1999), pp. 31-59.

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“The Role of Northeast Asian Cities in a Global Urban Network,” *The Korean Journal of Regional Science*, Vol. 15, No. 2, October 1999, pp. 5-19.

2000

“Sino-Russian Mutual Assessments,” in Sherman Garnett, ed., *Rapprochement or Rivalry? Russia-China Relations in a Changing Asia* (Armonk, NY: M.E. Sharpe, 2000), pp. 147-74.

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2001

“Japan’s Images of China in the 1990s: Are They Ready for China’s ‘Smile Diplomacy’ or Bush’s ‘Strong Diplomacy?’” *Japanese Journal of Political Science*, Vol. 2, Part 1, May 2001, pp. 97-125.

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2002

“Japan’s Quest for Great Power Identity,” *Orbis*, Vol. 46, No. 1, Winter 2002, pp. 73-91.

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“Decentralized Regionalism in Northeast Asia: How Have Things Changed in 2001?” *Final Report on International Conference on Regional Cooperation in Northeast Asia: Appraisals and Prospects* (Pusan: Busan Development Institute, 2002), pp.

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2005

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