

CURRICULUM VITAE (2017)

Gilbert Rozman

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EDUCATION

1961-63, '64-'65 **Carleton College**; Northfield, MN (Major: Chinese and Russian Studies)

1963-64 **Princeton University** (Critical Languages Program in Chinese and Russian Studies)

1965-70 **Princeton University** (Sociology) Ph.D awarded June 1971

INTENSIVE LANGUAGE PROGRAMS

Harvard University (summer 1963, Beginning Chinese)

Stanford University (summer 1964, Classical Chinese)

Indiana University (summer 1965, Russian Language Study Tour)

University of Minnesota (summer 1966, Third-Year Japanese)

Yonsei University (fall 2000, Intermediate Korean, tutorials)

POSITIONS at Princeton University

1970- Instructor, Assistant Professor, Associate Professor

1979- Professor

1992- Musgrave Professor of Sociology

2013- Emeritus Musgrave Professor of Sociology

Current Position

2013- Editor-in-chief, *The Asan Forum* (www.theasanforum.org)

RESEARCH AWARDS AND FELLOWSHIPS

Alfred P. Sloan Foundation Scholarship (1961-65)

Woodrow Wilson National Fellowship (1965-66)

Foreign Area Fellowship, Social Science Research Council (1968-70)

International Research and Exchanges Board Fellowship (1972)

National Endowment of the Humanities Summer Fellowship (1975)

National Science Foundation and the National Endowment of the Humanities Project Support (1976-79)

Guggenheim Fellowship (1979-80)

International Post-Doctoral Research, Social Science Research Council (for Japan, summers 1980, 1989)

National Council for Soviet and East European Research (1981-83; 1984-85; 1986-88; 1996-97, 2002-05)

Committee on Scholarly Communication with the PRC (1984-85, 1992)
Chiang Ching-kuo Foundation Fellow, ACLS (1992-93)
United States Institute of Peace (1994-96)
Woodrow Wilson International Center for Scholars (1996-97, 2010-11)
Center for Global Partnership (1997-98)
Carnegie Corporation of New York, International Peace and Security Program (2000-2001)
Yonsei University (Seoul), visiting professor (2000, fall)
Seoul National University, visiting professor (2005, fall)
The Korea Foundation (2005-06, 2009-10)
East Asia Institute Fellow, Seoul (2006)
Northeast Asian History Foundation (2007-08)
United States-Japan Foundation (2009-10)
Korean Economic Institute, organizer of panels and volumes (2011-17)

PUBLICATIONS

Books:

- Urban Networks in Ch'ing China and Tokugawa Japan* (Princeton University Press, 1973)
- The Modernization of Japan and Russia* (coauthor, The Free Press, 1975)
- Urban Networks in Russia, 1750-1800, and Premodern Periodization* (Princeton University Press, 1976)
- The Modernization of China* (ed., The Free Press, 1981)
- Population and Marketing Settlements in Ch'ing China* (Cambridge University Press, 1982)
- Soviet Studies of China: Assessments of Recent Scholarship* (ed., Michigan Publications on China, 1984)
- A Mirror for Socialism: Soviet Criticisms of China* (Princeton University Press, 1985)
- Japan in Transition: From Tokugawa to Meiji* (coeditor, Princeton University Press, 1986)
- The Chinese Debate about Soviet Socialism, 1978-1985* (Princeton University Press, 1987)
- The East Asian Region: Confucian Heritage and Its Modern Adaptation* (ed., Princeton University Press, 1991)
- Japan's Response to the Gorbachev Era, 1985-1991: A Rising Superpower Views A Declining One* (Princeton University Press, 1992)
- Dismantling Communism: Common Causes and Regional Variations* (ed., Johns Hopkins University Press, 1992)
- Russia and East Asia: The 21st Century Security Environment* (co-editor, M.E. Sharpe, 1999)
- Japan and Russia: The Tortuous Path to Normalization, 1949-1999* (ed., St. Martin's Press, 2000)
- Northeast Asia's Stunted Regionalism: Bilateral Distrust in the Shadow of Globalization* (Cambridge University Press, 2004)

Korea at the Center: Dynamics of Regionalism in Northeast Asia (coeditor, M.E. Sharpe, 2006)

Russian Strategic Thought toward Asia (co-editor, Palgrave, 2006)

Japanese Strategic Thought toward Asia (co-editor, Palgrave, 2007)

Strategic Thinking about the Korean Nuclear Crisis: Four Parties Caught between North Korea and the United States (Palgrave, 2007, revised paperback edition in 2011)

South Korean Strategic Thought toward Asia (co-editor), Palgrave, 2008)

Chinese Strategic Thought toward Asia (Palgrave, 2010, revised paperback edition in 2012)

U.S. Leadership, History, and Bilateral Relations in Northeast Asia (editor), (Cambridge, 2011)

East Asian National Identities: Common Roots and Chinese Exceptionalism (editor), (Woodrow Wilson Center Press and Stanford University Press, 2012)

China's Foreign Policy: Who Makes It, and How Is It Made? (editor), (Asan Institute, 2012).

Joint U.S.-Korea Academic Studies--Asia at a Tipping Point: Korea, the Rise of China, and the Impact of Leadership Transitions (editor), (Korea Economic Institute, 2012).

National Identities and Bilateral Relations: Widening Gaps in East Asia and Chinese Demonization of the United States (editor), (Woodrow Wilson Center Press and Stanford University Press, 2013).

Joint U.S.-Korea Academic Studies--Asia's Uncertain Future: Korea, China's Aggressiveness, and New Leadership (editor), (Korea Economic Institute, 2013).

The Sino-Russian Challenge to the World Order: National Identities, Bilateral Relations, and East vs. West in the 2010s (Woodrow Wilson Center Press and Stanford University Press, 2014).

Joint U.S.-Korea Academic Studies--Asia's Slippery Slope: Triangular Tensions, Identity Gaps, Conflicting Regionalism, and Diplomatic Impasse toward North Korea (editor), (Korea Economic Institute, 2014).

Misunderstanding Asia: International Relations Theory and Asian Studies over Half a Century (editor) (Palgrave Macmillan, 2015).

Asia's Alliance Triangle: U.S.-Japan-South Korea Relations at a Tumultuous Time (editor through *The Asan Forum*) (editor) (Palgrave Macmillan, 2015).

Joint U.S.-Korea Academic Studies—Facing Reality in East Asia: Tough Decisions on Competition and Cooperation (editor) (Korea Economic Institute, 2015).

Japan-Russia Relations: Implications for the U.S.-Japan Alliance (Washington, DC: Sasakawa USA, 2016)

Joint U.S.-Korea Academic Studies—Rethinking Asia in Transition: Security Intentions, Value Gaps, and Evolving Economic Relations) (Korea Economic Institute, 2016).

International Relations and Asia's Southern Tier: ASEAN, Australia, and India (coeditor with Joseph Liow) (SpringerNature, 2017).

International Relations and Asia's Northern Tier: Sino-Russian Relations, North Korea, and Mongolia (with Sergey Radchenko, SpringerNature, 2017).

Joint U.S.-Korea Academic Studies—The Sino-ROK-U.S. Triangle: Awaiting the Impact of Leadership Changes (editor) (Korea Economic Institute, 2017).

Articles:

Encyclopedia Articles and Overviews

"The Sociology of East Asia: A Growing Force in Regional Studies," in *Asia in the Twenty-First Century: Toward a New Framework of Asian Studies* (Tokyo: Institute of Oriental Culture, University of Tokyo, 1996), pp. 1-9.

"Hanjungil samguk oe yugyo wa segyehwa" (Korea, China, Japan: Three countries' Confucianism and Globalization), *Chontong gwa hyondae*, No. 3, 2000, pp. 207-30.

"East Asian Studies: Society," in Neil J. Smelser and Paul B. Bates, eds., *International Encyclopedia of the Social Sciences* (Oxford: Elsevier Science Ltd, 2001), pp. 3975-79.

"Japan-Russia Relations," in David Levinson and Karen Christensen, et al., eds., *Encyclopedia of Modern Asia* (New York: Scribner's, 2002), Vol. 3, pp. 244-48.

"Integrating Russian Studies into Northeast Asian Studies," *AAASS Newsletter*, March 2002, pp. 1-4.

"Japan's Relations with the U.S. and Its North Korean Option," *Foreign Policy Research Institute E-notes*, Dec. 3, 2002.

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1974-79

"Soviet Reinterpretations of Chinese Social History," *Journal of Asian Studies* (November 1974), 49-72. Republished without permission as "Criticisms of Soviet Revisionists' Distortions of China's Social History," *Waiguo Yanjiu Zhongguo* 3 (1979), 75-117.

"Edo's Importance in the Changing Tokugawa Society," *Journal of Japanese Studies* (Fall 1974), 91-112.

"Comparative Approaches to Urbanization: Russia, 1750-1800," in Michael Hamm, ed., *The City in Russian History* (University of Kentucky Press, 1975), 69-85.

"The Skinner Bibliography of Modern Chinese Society: Ch'ing Research, 1644-1895," *Journal of Asian Studies* (February 1976), 283-87.

"Two Sources of Population Data for Nineteenth-Century China," *Ch'ing-Shih Wen-T'i* (Fall 1977), 72-78.

"China's Traditional Cities," *Pacific Affairs* (Winter 1977), 668-72.

"Introduction," to reprint of *Posadskaia Obshchina v Rossi XVIII Stoletii*, by A.A. Kizevetter

(Oriental Research Partners, 1978), 1-7.

"Urban Networks and Historical Stages," *Journal of Interdisciplinary History* 9:1 (Summer 1978), 65-91. Republished in Theodore Rabb and Robert I. Rotberg, eds., *Industrialization and Urbanization* (Princeton University Press, 1981).

"Social Interdependence in the Premodern Era," "Social Interdependence in the Transition to Modernization," "Social Interdependence and Advanced Modernization," in *The Modernization of Japan and Russia*, pp. 74-100, 198-216, 308-22.

"Comparisons Between China and the Soviet Union: The Sociology of Socialism," *Contemporary China* 2:2 (Summer 1978), 18-23.

"Social Integration in the Eighteenth and Nineteenth Centuries," "Social Integration in the Twentieth Century," "Summary: Eighteenth and Nineteenth Centuries," Summary: Twentieth Century," "The PRC as a Modernizing Society," "China's Modernization in Historical Perspective," in *The Modernization of China*, pp. 141-82, 352-400, 203-16, 443-54, 455-81, 482-516.

1980-89

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"Moscow's China-Watchers in the Post-Mao Era: The Response to a Changing China," *The China Quarterly* 94 (June 1983), 215-41.

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"China's Soviet-Watchers in the 1980s: A New Era in Scholarship," *World Politics* 37:4 (July 1985), 435-74.

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"Chinese Comparisons of Socialism: A New Agenda for Research and New Perceptions of Convergence in Socialist Reforms," in Tsuyoshi Hasegawa, ed., *The Soviet Union Faces Asia: Perceptions and Policies* (Sapporo: The Slavic Research Center, Hokkaido University, 1987), 21-36.

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"Moscow's Japan-Watchers in the First Years of the Gorbachev Era: The Struggle for Realism and Respect in Foreign Affairs," *Pacific Review* (1988), Vol. 1, No. 3, pp. 257-75.

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1990-94

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"Introduction: The East Asian Region in Comparative Perspective," "Comparisons of Modern Confucian Values in China and Japan," in *The East Asian Region*, 1991, pp. 3-42, 157-203.

"Theories of Modernization and Theories of Revolution: China and Russia," in Zhongyang yanjiuyuan jindaishi yanjiusuo, ed., *Zhongguo Xiandaihua Luanwenji* (Taipei, 1991), pp. 633-46.

"Stages in the Reform and Dismantling of Communism in China and the Soviet Union," in *Dismantling Communism: Common Causes and Regional Variations*, 1992, pp. 15-58.

"The Confucian Faces of Capitalism," in Mark Borthwick, ed., *The Emergence of Modern Pacific Asia* (Boulder, Colorado: Westview Press, 1992), pp. 310-22.

"Value Change, Marketization, and Enterprise Paternalism in China and Russia," in *Power Shifts and Value Changes in the Post Cold War World* (Takahashi, Okayama: The Joint Symposium of the International Sociological Association's Research Committees in Comparative Sociology and Sociology of Organizations, Kibi International University, 1992), pp. 127-43.

"Japanese Images of the Soviet and Russian Role in the Asia-Pacific Region," in Hasegawa, Haslam, and Kuchins, eds., *Russia and Japan* (Berkeley: Institute of East Asia, University of California, 1993), pp. 101-123.

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1995

"East Asian Modernization and the Japanese Experience," in Eric Wu and Yun-han Chu, eds., *The Predicament of Modernization in East Asian* (Taipei: National Cultural Association, 1995), pp. 111-26.

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"Current Cooperation in the Region of the Sea of Japan: Building Networks of Multinational Cooperation," *United Nations Symposium on Northeast Asia: Multi-Faceted Cooperation in Northeast Asia* (United Nations, 1995).

1996

"Nihon to Chugoku no kindaika ni kansuru hikaku" (Comparisons of the Modernization of Japan and China), in Yamada Tatsuo and Nakai Yoshihiko, eds., *Nitchu Hikaku Kindaika Ron (Modernization Theory Comparing Japan and China)* (Kyoto, 1996), pp. 31-56.

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1997

"China, Japan, and the Post-Soviet Upheaval: Global Opportunities and Regional Risks," in Karen Dawisha, ed., *The International Dimension of Post-Communist Transitions in Russia and the New States of Eurasia* (Armonk, NY: M.E. Sharpe, 1997), pp. 147-76.

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"Troubled Choices for the Russian Far East: Decentralization, Open Regionalism, and Internationalism," *The Journal of East Asian Affairs*, Vol. 11, No. 2, Summer/Fall 1997, pp. 537-69.

"Cross-National Integration in Northeast Asia: Geopolitical and Economic Goals in Conflict," *East Asia: An Interdisciplinary Journal*, Vol. 16, No. 2, Spring/Summer, 1997, pp. 6-43.

"Confucian Ethics and Legitimacy of Authority in China and Japan," in Michele Schmiegelow, ed., *Democracy in Asia* (Frankfurt and New York: Campus Verlag and St. Martin's Press, 1997), pp. 93-112.

1998

“The Great Power Balance in Northeast Asia,” review article, *Orbis*, Vol. 42, No. 1, Winter 1998, pp. 130-42.

“The Sino-Russian Strategic Partnership: Will It Endure?” *Demokratizatsiya*, Vol. 6, No. 2, Spring 1998, pp. 396-415.

“Sino-Russian Relations in the 1990s: A Balance Sheet,” *Post-Soviet Affairs*, Vol. 14, No. 2, Spring 1998, pp. 93-113.

“Flawed Regionalism: Reconceptualizing Northeast Asia in the 1990s,” *Pacific Review*, Vol. 11, No. 1, 1998, pp. 1-27.

“Northeast Asia: Regionalism, Clash of Civilizations or Strategic Quadrangle?” *Asia-Pacific Review*, Vol. 5, No. 1, Spring/Summer 1998, Tokyo, pp. 105-26.

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“Decentralization in Northeast China and the Russian Far East,” *The Soviet and Post-Soviet Review*, Vol. 25, No. 2, 1998, pp. 181-97.

1999

“China’s Quest for Great Power Identity,” *Orbis*, Vol. 43, No. 3, Summer 1999, pp. 383-402; reprinted in Guoli Liu, ed., *Chinese Foreign Policy in Transition* (New York: Aldine DE Gruyter, 2004).

“The Strategic Quadrangle and the Northeast Asian Region,” in Rouben Azizian, ed., *Strategic and Economic Dynamics of Northeast Asia* (Auckland: Center for Strategic Studies, University of Auckland, 1999), pp. 8-21.

“Mutual Perceptions among the Great Powers in Northeast Asia,” in Tsuneo Akaha, ed., *Politics and Economics in Northeast Asia: Nationalism and Regionalism in Contention* (New York: St. Martin’s Press, 1999), pp. 31-59.

“Backdoor Japan: The Search for a Way Out via Regionalism and Decentralization,” *Journal of Japanese Studies*, Vol. 25, No. 1, Winter 1999, pp. 3-31.

“The Role of Northeast Asian Cities in a Global Urban Network,” *The Korean Journal of Regional Science*, Vol. 15, No. 2, October 1999, pp. 5-19.

2000

“Sino-Russian Mutual Assessments,” in Sherman Garnett, ed., *Rapprochement or Rivalry? Russia-China Relations in a Changing Asia* (Armonk, NY: M.E. Sharpe, 2000), pp. 147-74.

“A New Sino-Russian-American Triangle?” *Orbis*, Vol. 44, No. 4, Fall 2000, pp. 541-55.

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“Russia and Japan: Mutual Misperceptions, 1992-1999,” in Semyon Verbitsky, Tsuyoshi Hasegawa, and Gilbert Rozman, eds., *Misperceptions between Japan and Russia* (Pittsburgh: University of Pittsburgh, The Carl Beck Papers in Russian & East European Studies, 2000), pp. 70-86.

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“Japan and Russia: Great Power Ambitions and Domestic Capacities,” in *Japan and Russia*, 2000, pp. 365-84.

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2001

“Japan’s Images of China in the 1990s: Are They Ready for China’s ‘Smile Diplomacy’ or Bush’s ‘Strong Diplomacy?’” *Japanese Journal of Political Science*, Vol. 2, Part 1, May 2001, pp. 97-125.

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2002

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“China’s Perspective on the World,” in International Workshop, *External Strategy of the New Chinese Leadership* (Tokyo: The Japan Institute of International Affairs, 2004), pp. 1-7

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2005

“Maneuvering over the Russian Far East’s Place in Northeast Asia,” in F. Joseph Dresen, ed., *Russia in Asia—Asia in Russia: Energy, Economics, and Regional Relations* (Washington, DC: Kennan Institute Occasional Paper #292, 2005), pp. 77-81.

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2006

“Cultural Prerequisites of East Asian Regionalism in an Age of Globalization,” *Korean Observer*, Vol. 37, No. 1 (Winter 2006), pp. 149-79.

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“South Korean-Japanese Relations as a Factor in Stunted Regionalism,” *IRI Review*, Vol. 11, No. 1, Spring 2006, pp. 99-124.

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(coauthor), “Overview,” in *Russian Strategic Thought toward Asia*, pp. 1-33.

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